

Franklin Templeton

403(b) Plan Transfer Request Form



Franklin • Templeton • Mutual Series

Please use this form when transferring all or a portion of your present 403(b) Plan from another institution to Franklin Templeton Bank & Trust, F.S.B. You will also need to complete a 403(b) Plan Application, if you haven't already done so.

For assistance, please call your financial advisor or Franklin Templeton Retirement Services at 1-800/527-2020.

1 PARTICIPANT INFORMATION

First name	M.I.	Last name	Date of birth (mm/dd/yyyy)	SSN/TIN
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Street address of residence (no P.O. Box address)		City	State	ZIP
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>
Mailing address (if different from above)		City	State	ZIP
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>
Daytime phone number ()	Evening phone number ()		<input type="checkbox"/> U.S. citizen or resident alien <input type="checkbox"/> Nonresident alien	

2 TRANSFER INSTRUCTIONS

Current 403(b) custodian name	Daytime phone number ()		
<input type="text"/>	<input type="text"/>		
Address	City	State	ZIP
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Account number(s)	<input type="text"/>	<input type="text"/>	<input type="text"/>

PLEASE ATTACH A COPY OF A RECENT ACCOUNT STATEMENT.

NAME OF MUTUAL FUND OR INVESTMENT TRANSFERRING FROM	ACCOUNT NUMBER		AMOUNT TO BE TRANSFERRED or	PERCENTAGE
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Balance <input type="checkbox"/> Partial	\$ <input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Balance <input type="checkbox"/> Partial	\$ <input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Balance <input type="checkbox"/> Partial	\$ <input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Balance <input type="checkbox"/> Partial	\$ <input type="text"/>	<input type="text"/> %

NOTE: All amounts to be transferred should be sold (liquidated) except for Franklin Templeton fund shares, which shall be transferred in kind, and no transfer amount shall include any after-tax contributions including Roth 403(b) contributions

3 INVESTMENT INSTRUCTIONS

Invest my proceeds (described in Section 2) in the following manner:

FRANKLIN TEMPLETON FUND NAME	ACCOUNT NUMBER ¹	ALLOCATION PERCENTAGE ²
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/> %
TOTAL		100%

1. If account number is unavailable, a new 403(b) Plan Application must accompany this request form (or be provided to Franklin Templeton Bank & Trust (FTB&T) in concurrence with this request).
2. If not specified, the investments will be divided equally among the funds listed. Contributions must be at least \$25 per fund.

4 EMPLOYER-SPONSOR AUTHORIZATION

PARTICIPANT: Is the employer-sponsor of your Franklin Templeton 403(b) account the same employer-sponsor of the 403(b) account you intend to transfer to Franklin Templeton?

☐ YES, the employer-sponsor of my Franklin Templeton 403(b) is also the employer-sponsor of the 403(b) account I intend to transfer to Franklin Templeton.

☐ NO, the employer-sponsor of the account I intend to transfer is not the employer-sponsor of my Franklin Templeton 403(b) account.

TO BE COMPLETED BY THE EMPLOYER-SPONSOR OF YOUR FRANKLIN TEMPLETON 403(B) ACCOUNT:

I authorize the requested transfer of 403(b) assets from the current custodian to Franklin Templeton Bank & Trust.

X	Date	Phone	
Employer or Third Party Authorized Signature		()	
Name of Signer (Please Print)	Name of Employer		
Employer's street address	City	State	ZIP code

5 PARTICIPANT'S AUTHORIZATION

I have established a 403(b) Plan with Franklin Templeton Bank & Trust, F.S.B. (FTB&T). Please accept this as your authorization to transfer the assets noted above to FTB&T in the manner indicated above.

X _____ Date _____
Signature

NOTE: To complete this transfer, your existing 403(b) Custodian may require a separate letter of instruction, a signature guarantee, or other special requirements if any of your current plan assets are invested in annuity contracts. Please ask the Custodian about any additional requirements.

MEDALLION SIGNATURE GUARANTEE:

(if required by the current Custodian, not required by Franklin Templeton)

IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT: ☐ Me ☐ My Financial Advisor

Financial Advisor name

Phone number of Financial Advisor

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6 FRANKLIN TEMPLETON BANK & TRUST'S ACCEPTANCE

Franklin Templeton Bank & Trust ("FTB&T") hereby accepts the transfer of assets as requested herein as Custodian of a 403(b) Custodial Plan established by the Participant so long as the assets do not include any after-tax contributions including Roth 403(b) contributions, and if this is a contract exchange, the employer has entered into a written Information Sharing Agreement with FTB&T. The Franklin Templeton 403(b) Custodial Account Agreement includes the withdrawal restrictions as provided under IRC Section 403(b)(7). The Franklin Templeton 403(b) Custodial Account Agreement does not include a loan provision, and therefore FTB&T cannot accept any subsequent deposits representing repayments of an outstanding loan. Please make the check (if applicable) payable to "FTB&T 403(b) Custodian for (Participant's name)" and mail it with this transfer request to one of the addresses listed below.

Authorized Signature—Franklin Templeton Bank & Trust: **X**


Wendy L. Harrington, President & CEO

Please mail to

WEST COAST

Franklin Templeton Investments
c/o Retirement Services
P.O. Box 997157
Sacramento, CA 95899-7157

Overnight

3344 Quality Drive
Rancho Cordova, CA 95670-7313

EAST COAST

Franklin Templeton Investments
c/o Retirement Services
P.O. Box 33033
St. Petersburg, FL 33733-8090

100 Fountain Parkway
St. Petersburg, FL 33716-1205

Not FDIC Insured | May Lose Value | No Bank Guarantee